



E.S.

PATENT 1656  
BCK Sq

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-713-i19)

Application of: )  
)  
Mirkin *et al.* ) Examiner: J. Riley  
)  
Serial No. 09/976,863 )  
) Group Art Unit: 1656  
Filed: October 12, 2001 )  
)  
For: Nanoparticles Having Oligonucleotides ) Confirmation No.: 2841  
Attached Thereto and Uses Therefor )

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TECH CENTER 1600/2900

U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

Sir:

**TRANSMITTAL LETTER**

In regard to the above identified application:

1. We are transmitting herewith the attached
  - a. Response to Notice to Comply dated March 12, 2002
  - b. Copy of the Notice to Comply
  - c. Substitute Sequence Listing (paper copy and computer readable form on 3.5" diskette)
  - d. Return Receipt Postcard
2. With respect to additional fees:  
☒ A. No additional fee is required.  
☐ B. Attached is a check in the amount of \$\_\_\_\_\_.
3. General Authorization: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202 on this 27 day of March, 2002.

Date:

March 27, 2002

By

Emily Miao  
Reg. No. 35,285

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-713-i19)



In the Application of:

Mirkin *et al.*

Serial No. 09/976,863

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For: Nanoparticles Having Oligonucleotides  
Attached Thereto and Uses Therefor

Examiner: J. Riley

Group Art Unit: 1656

Confirmation No.: 2841

**RESPONSE TO NOTICE TO COMPLY**

U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

Sir:

This is in response to the Notice to Comply mailed March 12, 2002. Attached is a paper copy of a substitute Sequence Listing and 3.5" diskette containing a computer readable copy of the Sequence Listing in accordance with 37 C.F.R. § 1.821(e). As requested by the Notice, a substitute Sequence Listing and diskette is being substituted as a replacement for a damaged diskette. In accordance with 37 C.F.R. §§ 1.821-1.825, the computer readable copy is identical to the paper copy filed with the disclosure of the application and the attached Sequence Listing. No new matter has been added to the application as a result of this submission.

Prompt consideration and entry of this response is respectfully requested.

Respectfully submitted,

Date:

March 26, 2002

By:

Emily Miao  
Reg. No. 35,285



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,863	10/12/2001	Chad A. Mirkin	00-713-i19

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CONFIRMATION NO. 2841

FORMALITIES LETTER



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Date Mailed: 03/12/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

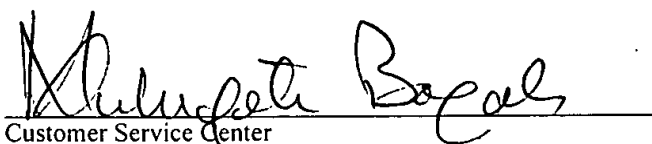
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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*A copy of this notice **MUST** be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE